Horizon Europe

UK Participation in Horizon Europe
UKRI Guarantee
UK government transitional measures

Claire Griffin - NCP EIC & Global Innovation Lead
UK Participation in Horizon Europe

- **YES – the UK can participate!**
- 24 December 2020 the negotiations on the UK-Trade and Co-operation Agreement concluded
- **Announcement** set out intention to associate to Horizon Europe
- **Full participation** except (EIC Fund – equity in EIC Accelerator)
- European Commission’s [Q&A](#) confirms UK eligibility to apply
“UK entities including universities, research centres, scientists, innovative businesses, industry, etc. can participate in the first calls for proposals of Horizon Europe as soon as they are published on the European Commission’s website.”

UK applicants are treated as if the UK is an associated country throughout the process, from admissibility and eligibility to evaluation, up until the preparation of grant agreements. However, grant agreements can only be signed if the association has come into force.”
Horizon Europe Guarantee

“A new scheme was announced by UK government on 29 November 2021 to guarantee funding for successful applicants to the first wave of Horizon Europe grant awards regardless of the outcome of the UK’s efforts to associate to Horizon Europe.”

Who can apply?
- Based in the UK
- have been successful at applying for a Horizon Europe grant with final submission deadlines on or before 31 December 2022
- have been included on the initial grant proposal as a ‘beneficiary’ with an assigned budget.
UK entities apply as a Beneficiary

- In order to gain €/£ from the UK government, all UK applicants must provide the evidence that they were listed as a participant in the original application.

- When the grant agreement is in development, the UK can move to Associated Partner at this stage – NOT Before.

- Associated Partners can be work-package leaders - FAQ 18452
Extensions and eligibility

- The UK government extended the guarantee to a second wave of eligible calls on 15 March and then again on 1 September 2022 to cover additional Horizon Europe funding opportunities.
- The guarantee will now be in place to cover all Horizon Europe calls that close on or before 31 December 2022.
  - ’This includes those where grant signature dates fall beyond the end of 2022’.
UK government transition measures

- It is our ambition to fully associate
  - UK R&D roadmap - p43

- If the UK does not associate to Horizon Europe, funding will be available for UK applicants to participate in funding opportunities as ‘third country’ applicants. This will come into effect at the point the UK is unable to associate.
If UK does not Associate to the Programme

- UK organisations can continue to participate in those parts of the programme open to Third Countries
  - Cannot (usually) coordinate proposals or projects
  - Do not count as one of the three different organisations for consortium eligibility
  - Would not be funded by EU (except in exceptional circumstances)

UK Government Policy Paper published July 2022: Supporting UK R&D and collaborative research beyond European programmes

“Around two-thirds of Horizon Europe calls are open to UK researchers and companies as Third Country applicants, as part of consortia with at least 3 other applicants from EU member states or associated countries, provided they bring their own funding. As this is a priority for businesses and researchers, the government will fund all eligible UK entities participating in any such consortia signing grant agreements before 31 March 2025. The government will consider our approach to funding for Third Country Participation beyond this date and make an announcement by October 2024”
If the UK is unable to Associate

- If the UK is unable to associate to Horizon Europe, the government will introduce a programme of international science, research and innovation collaborations, including immediate measures to stabilise the sector.

Any enquiries about Horizon Europe Alternatives?
- contact horizoneuropealternatives@ukri.org
Thank you

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Supplementary FAQs

- **Horizon Europe FAQ 18452** “Associated partners can become work package leaders in collaborative projects.”
- **GDPR**: “Commission concludes that the United Kingdom ensures an adequate level of protection for personal data transferred within the scope of Regulation (EU) 2016/679 from the European Union to the United Kingdom.”
- **EU/UK Security Agreement** “Against this background, the EU and the UK have concluded a Security of Information Agreement. The Agreement will allow the EU and the UK to exchange classified information, applying strong guarantees as to the handling and protection of the exchanged information.”