ASSOCIATION STATUS OF CH AND UK AND OTHER COUNTRIES IN THE FOCUS OF THE EIC

HORIZON EUROPE GENERAL REGULATIONS AND ASSOCIATION STATUS OF OTHER THIRD COUNTRIES

Ralf König | FFG – European and International Programmes

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ASSOCIATION TO HORIZON EUROPE

• Association to Horizon Europe is governed by the Horizon Europe Regulation 2021/695

• Closest form of cooperation in R&I for non-EU countries
  (legal entities from associated countries can participate under equivalent conditions as legal entities from the EU Member States, unless specific limitations or conditions are laid down in the work programme and/or call/topic text)

• For the first time, any like minded country with strong R&I capacity (and shared common values with Europe) can associate

• Participation in the world’s largest R&I programme (EUR 95.5bln) on equal terms with entities of EU Member States

• Associated countries’ entities are eligible for funding and can coordinate projects

• Access to European partnerships

• Participation in programme governance structure and in discussions on priority topics for different calls in (as members/observers in the Programme Committees)

• Benefit from support services, e.g. the network of National Contact Points (NCPs)
ASSOCIATION TO HORIZON EUROPE
FOUR CATEGORIES[1] OF COUNTRIES ELIGIBLE FOR ASSOCIATION

a) Members of the European Free Trade Association (EFTA) which are Members of the European Economic Area (EEA)
Iceland, Liechtenstein and Norway but not Switzerland

b) Acceding Countries, Candidate Countries* & Potential Candidates**
*  Albania, Moldova, Montenegro, North Macedonia, Serbia, Turkey, Ukraine
**  Bosnia and Herzegovina, Georgia, Kosovo (this designation is without prejudice to positions on status, and is in line with UNSCR 1244/1999 and the ICJ Opinion on the Kosovo declaration of independence)

c) European Neighbourhood Policy (ENP) Countries
Eastern Partnership: Armenia, Azerbaijan, Belarus, Georgia, Moldova, Ukraine
Southern Neighbourhood: Algeria, Egypt, Israel, Jordan, Lebanon, Libya, Morocco, Palestine, Syria, Tunisia

[1] Horizon Europe Regulation | Article 16 - Third countries associated to the Programme
d) (Other) third countries* and territories that fulfil a set of criteria related to their economic, political and research and innovation systems:

- a good capacity in science, technology and innovation;
- commitment to a rules-based open market economy, including fair and equitable dealing with intellectual property rights, respect of human rights, backed by democratic institutions;
- active promotion of policies to improve the economic and social well-being of citizens.

* Faroe Islands
* Switzerland & United Kingdom (in case of orderly Brexit)
* Canada, New Zealand
* Japan, South Korea
* Australia, Singapore
<table>
<thead>
<tr>
<th>Country Category</th>
<th>Association Agreement Status*</th>
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<tbody>
<tr>
<td><strong>Country Category</strong></td>
<td>Exploratory Talks (Exp.)</td>
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<tr>
<td>(a) EEA/EFTA States</td>
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<tr>
<td>Iceland</td>
<td>A: 24 September 2021</td>
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<tr>
<td>Norway</td>
<td>A: 24 September 2021</td>
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<td>(b) Acceding Countries, Candidate Countries and Potential Candidate Countries</td>
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<tr>
<td>Albania</td>
<td>C: 06 September 2021 S: 10 February 2022</td>
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<td>Bosnia and Herzegovina</td>
<td>C: 17 September 2021 S: 22 December 2021</td>
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<td>Kosovo**</td>
<td>C: 30 July 2021 S: 06 December 2021</td>
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<td>Montenegro</td>
<td>C: 06 September 2021 S: 06 December 2021</td>
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<td>North Macedonia</td>
<td>C: 30 August 2021 S: 06 December 2021 PA: 10 December 2021</td>
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<td>Serbia</td>
<td>C: 07 September 2021 S: 06 December 2021 PA: 06 December 2021</td>
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<td>Türkiye</td>
<td>C: 25 August 2021 S: 27 October 2021</td>
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<td>(c) European Neighbourhood Policy Countries</td>
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<td>Armenia</td>
<td>C: 16 September 2021 S: 10 November 2021</td>
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<tr>
<td>Georgia</td>
<td>C: 17 September 2021 S: 07 December 2021 PA: 07 December 2021 F: 01 February 2022</td>
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<td>Israel</td>
<td>C: 08 October 2021 S: 06 December 2021 F: 06 December 2021</td>
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<td>Moldova</td>
<td>C: 10 September 2021 S: 27 October 2021 PA: 27 October 2021 F: 16 December 2021</td>
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<td>Morocco **</td>
<td>Ong.</td>
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<tr>
<td>Tunisia</td>
<td>C: 01 October 2021 S: 29 March 2022</td>
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<tr>
<td>Ukraine</td>
<td>C: 31 August 2021 S: 12 October 2021</td>
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<tr>
<td>(d) Other Third Countries</td>
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<tr>
<td>Faroe Islands</td>
<td>C: 08 October 2021 S: 24 May 2022 PA: 24 May 2022</td>
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<tr>
<td>Switzerland</td>
<td>Closed: 06 April 2022</td>
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<td>United Kingdom</td>
<td>Closed: 23 March 2022</td>
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<td>Canada</td>
<td>Closed: 23 March 2022</td>
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<tr>
<td>New Zealand</td>
<td>Closed: 23 March 2022</td>
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<td>South Korea</td>
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<td>Japan</td>
<td>Ong.</td>
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</table>

Source: European Commission

* 20 June 2022

** This designation is without prejudice to positions on status, and is in line with UNSCR 1244/1999 and the ICJ Opinion on the Kosovo declaration of independence
HORIZON EUROPE REGULATION

ARTICLE 16 | THIRD COUNTRIES ASSOCIATED TO THE PROGRAMME

1. The Programme shall be open to association of the following third countries (associated countries):
   
   (a) Members of the European Free Trade Association, which are members of the EEA, in accordance with the conditions laid down in the Agreement on the European Economic Area;
   
   (b) acceding countries, candidate countries and potential candidates, in accordance with the general principles and general terms and conditions for the participation of those countries in Union programmes established in the respective framework agreements or Association Council decisions or similar agreements and in accordance with the specific conditions laid down in agreements between the Union and those countries;
   
   (c) European Neighbourhood Policy countries, in accordance with the general principles and general terms and conditions for the participation of those countries in Union programmes established in the respective framework agreements or Association Council decisions or in similar agreements and in accordance with the specific conditions laid down in agreements between the Union and those countries;
   
   (d) third countries and territories that fulfill all of the following criteria:
      
      (i) a good capacity in science, technology and innovation;
      
      (ii) commitment to a rule-based open market economy, including fair and equitable dealing with intellectual property rights, respect of human rights, backed by democratic institutions;
      
      (iii) active promotion of policies to improve the economic and social well-being of citizens.

2. Association to the Programme of each of the third countries under point (a) of paragraph 1 shall be in accordance with the conditions laid down in an agreement covering the participation of the third country to any Union programme, provided that the agreement:
   
   (a) ensures a fair balance as regards the contributions and benefits of the third country participating in the Union programmes;
   
   (b) lays down the conditions of participation in the Union programmes, including the calculation of financial contributions to individual programmes, and their administrative costs;
   
   (c) does not confer on the third country any decision-making power in respect of the Union programmes;
   
   (d) guarantees the rights of the Union to ensure sound financial management and to protect the Union’s financial interests.

The contributions referred to in point (b) of the first subparagraph of this paragraph shall constitute assigned revenues in accordance with Article 21(5) of the Financial Regulation.

3. The scope of association of each third country to the Programme shall take into account an analysis of the benefits for the Union and the objective of driving economic growth in the Union through innovation. Accordingly, with the exception of EEA members, acceding countries, candidate countries and potential candidates, parts of the Programme may be excluded from an association agreement for a specific country.

4. The association agreement shall, as far as possible, provide for the reciprocal participation of legal entities established in the Union in equivalent programmes of associated countries in accordance with the conditions laid down in those programmes.

5. The conditions determining the level of financial contribution shall ensure a regular automatic correction of any significant imbalance compared to the amount that entities established in the associated country receive through participation in the Programme, taking into account the costs in the management, execution and operation of the Programme. The allocation of the financial contributions shall take into account the level of participation of the legal entities of the associated countries in each part of the Programme.
For actions related to Union strategic assets, interests, autonomy or security, the work programme may provide that the participation can be limited to legal entities established only in Member States or to legal entities established in specified associated or other third countries in addition to Member States. Any limitation of the participation of legal entities established in associated countries which are EEA members shall be in accordance with the terms and conditions of the Agreement on the European Economic Area.

For duly justified and exceptional reasons, in order to guarantee the protection of the strategic interests of the Union and its Member States, the work programme may also exclude the participation of legal entities established in the Union or in associated countries directly or indirectly controlled by non-associated third countries or by legal entities of non-associated third countries from individual calls for proposals, or make their participation subject to conditions set out in the work programme.

Where appropriate and duly justified, the work programme may provide for eligibility criteria in addition to those set out in paragraphs 2 to 5 to take into account specific policy requirements or the nature and objectives of the action, including the number of legal entities, the type of legal entity and the place of establishment.
ASSOCIATION TO HORIZON EUROPE
INFORMATION SOURCES

European Commission

- Updates on the association of third countries to Horizon Europe

- List of Participating Countries in Horizon Europe

- Horizon Europe Programme Guide | Chapter 8. International cooperation and association

Council of the European Union

- Horizon Europe Regulation
THANK YOU FOR YOUR ATTENTION!

Ralf König

Austrian Research Promotion Agency
European and International Programmes
Sensengasse 1, 1090 Vienna, Austria

T  +43 (0) 5 77 55 – 4601
ralf.koenig@ffg.at
www.ffg.at